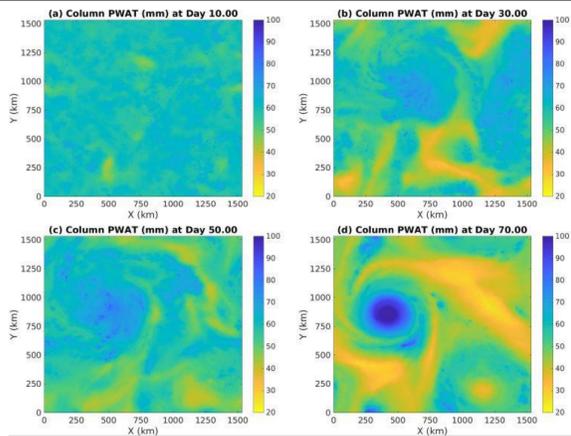


On large scales, the tropical atmosphere can be thought to be in radiative-convective equilibrium (RCE), the balance between radiative cooling and convective heating. Modeling studies of RCE have shown the potential for previously random convection to “self-aggregate”, or organize spontaneously, via feedbacks involving clouds, water vapor, radiation, surface fluxes, and mesoscale circulations. <sup>1</sup> In an environment of rotating RCE, self-aggregation may take the form of a tropical cyclone (TC). <sup>2</sup>

## MOTIVATION

- Tropical cyclogenesis remains one of the major open questions in our field.
- Idealized modeling studies revealed importance of radiative effects to accelerate TC genesis. <sup>3</sup>
- By initializing randomly from RCE, convection is allowed to behave on its own, providing a more holistic view of the process.
- Study “low-rotation” RCE cases for the first time to simulate near-equatorial TC genesis.



**FIG. 1: Evolution of column precipitable water in a 15° f-plane simulation, showing self-aggregated convection in the form of an intense TC.**

## MODEL DETAILS

- Cloud-resolving model used: System for Atmospheric Modeling (SAM), version 6.8.2. <sup>4</sup>
- 1536 km square, doubly periodic domain; 64 vertical levels; 3 km horizontal grid spacing.
- 100 day simulations with constant solar insolation and 305 K fixed SST.
- 27 total simulations on 10 f-planes, analogous to latitudes from 0.1–20°. 3–5 member ensembles for all f-planes except 0.1°, with different initial convection distribution.

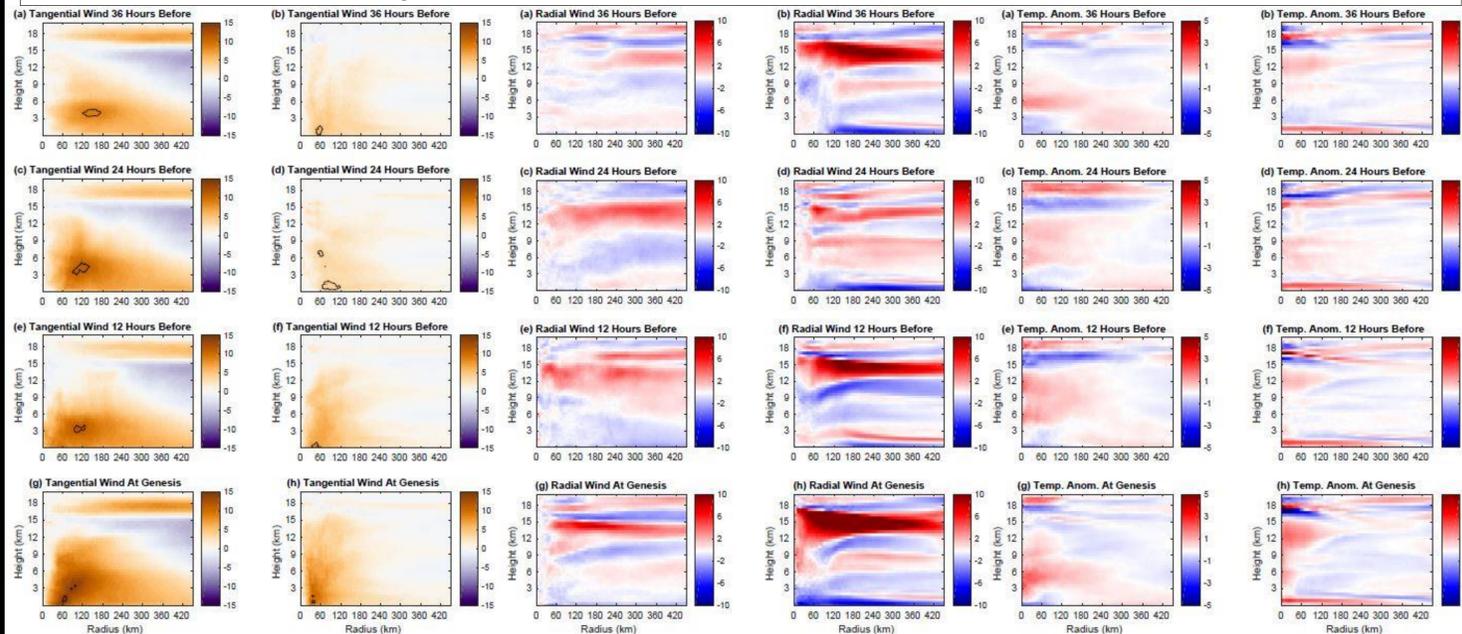
“Low-f”: 0.1°, 1°, 2°, 3°, 5°. 13 simulations.

“High-f”: 10°, 15°, 20°. 11 simulations.

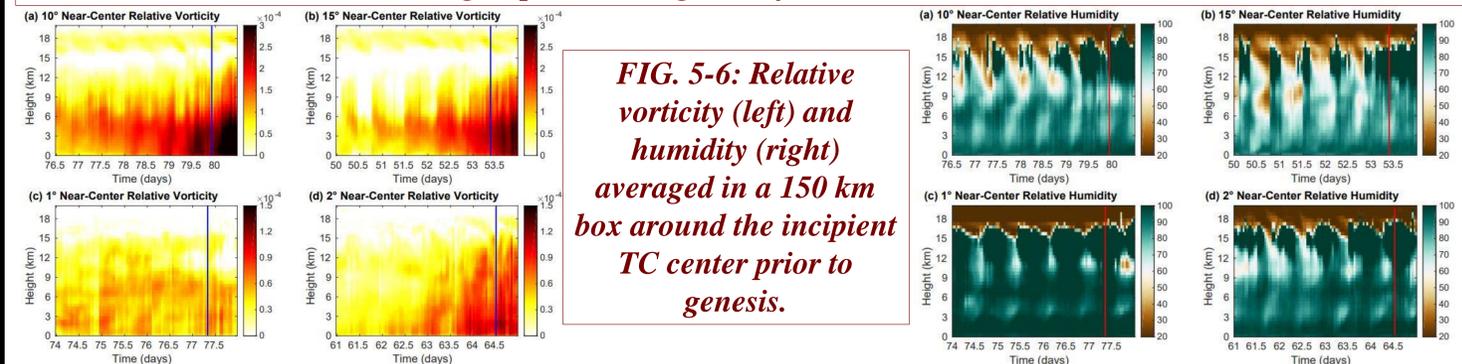
A 7.5° group will be discussed separately.

## “HIGH-F” PROCESSES

- Broad circulation develops while self-aggregation feedbacks operate and convection organizes.
- Vortex generally emerges in middle troposphere; thermodynamic response favors strong vertical mass flux below.
- This leads to increased mass/vorticity convergence near the surface, eventually contributing to TC spinup.
- Some 20° simulations begin development at lower levels, but mid-level vortex emergence still precedes intensification to a TS-strength surface vortex.



**FIG. 2-4: Azimuthally averaged tangential wind (left), radial wind (center), and virtual temperature anomaly (right) prior to TC genesis for 15° and 2° cases.**



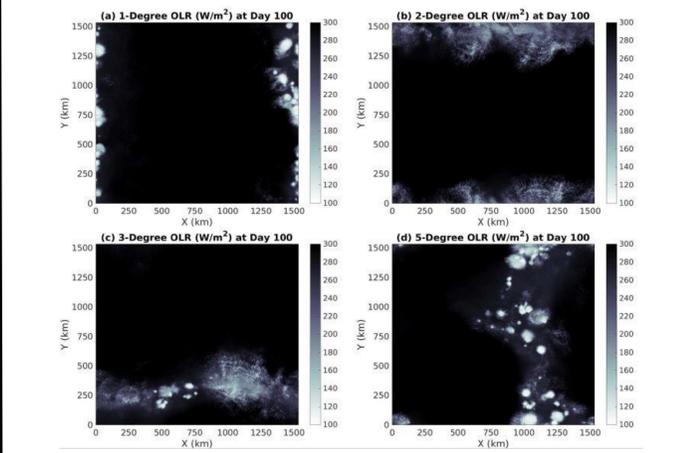
**FIG. 5-6: Relative vorticity (left) and humidity (right) averaged in a 150 km box around the incipient TC center prior to genesis.**

## “LOW-F” PROCESSES

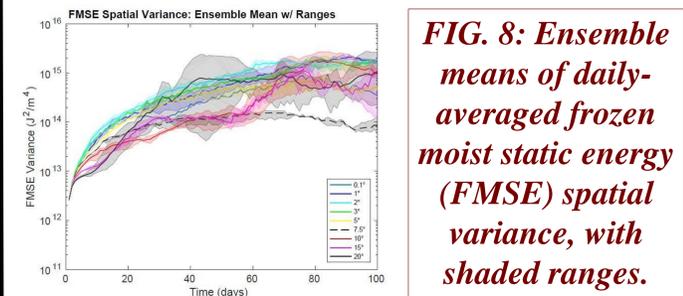
- Vortex spinup does not occur until after self-aggregation into a circular cluster has completed.
- As such, strong low-level convergence, high column relative humidity, and low-level buoyancy/vertical mass flux are already well-established.
- No preceding mid-level vortex is required, and TC development begins at low levels, in agreement with recent reanalyses of real-world near-equatorial TCs. <sup>5</sup>
- Only 3/13 simulations in this group produce a TC. All others self-aggregate as a long band.

## CONCLUSIONS

- Key conditions that precede TC genesis in this model: Saturation and low-level inflow.
- Pathways to achieve this differ between the two groups of TC-producing simulations.
  - High-f: Mid-level vortex emergence.
  - Low-f: Complete self-aggregation into a non-rotating, circular cluster.
- Dynamical evolution of low-f TC genesis resembles reanalyses of near-equatorial TCs.
  - Can self-aggregation play a role in these cases?



**FIG. 7: Banded self-aggregated convection at day 100 of 1°, 2°, 3°, and 5° simulations.**



**FIG. 8: Ensemble means of daily-averaged frozen moist static energy (FMSE) spatial variance, with shaded ranges.**

## FUTURE WORK

- Precisely quantify results/ideas presented here with vorticity, divergence, and FMSE budgets (currently in progress).
- The set of 7.5° fails to fully self-aggregate. Does this represent a transition zone between the two regimes outlined here?
- Banded vs. circular aggregation in “low-f” simulations: What separates these?